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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 15/00, 15/31, 15/52, 15/70, 15/81, 15/90</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/27203</b> <b>(43) International Publication Date:</b> 25 June 1998 (25.06.98)
<b>(21) International Application Number:</b> PCT/US97/23014 <b>(22) International Filing Date:</b> 12 December 1997 (12.12.97)  <b>(30) Priority Data:</b> 60/033,193 18 December 1996 (18.12.96) US  <b>(71) Applicant:</b> KOSAN BIOSCIENCES [US/US]; 1450 Rollins Road, Burlingame, CA 94010 (US).  <b>(72) Inventors:</b> BARR, Philip, J.; 5602 Denton Place, Oakland, CA 94619 (US). SANTI, Daniel, V.; 211 Belgrave, San Francisco, CA 94117 (US). ASHLEY, Gary, W.; 1102 Verdemar Drive, Alameda, CA 94502 (US).  <b>(74) Agents:</b> MURASHIGE, Kate, H. et al.; Morrison & Foerster LLP, 2000 Pennsylvania Avenue, N.W., Washington, DC 20006-1888 (US).		<b>(81) Designated States:</b> AU, CA, JP, NZ, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> PRODUCTION OF POLYKETIDES IN BACTERIA AND YEAST  <b>(57) Abstract</b>  Hybrid and novel polyketide synthases and polyketides are produced by use of a multiple vector system. The combinatorial possibilities offered by placing the various catalytic activities of PKS systems on separate vectors permits the construction of improved libraries of PKS and polyketides. In addition, polyketides can be produced in hosts that ordinarily do not produce polyketides by supplying, along with an expression system for the desired PKS, an expression system for holo ACP synthase.		

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## PRODUCTION OF POLYKETIDES IN BACTERIA AND YEAST

This application claims priority under 35 USC 119 from provisional  
5 application 60/033,193 filed 18 December 1996. The contents of this provisional  
application are incorporated herein by reference.

### Technical Field

The invention relates to production of polyketides in microbial hosts such as  
10 yeast and *E. coli* and to preparation of libraries containing a variety of functional  
polyketide synthases (PKSs) and the resulting variety of polyketides. More  
specifically, it concerns supplying portions of the polyketide synthase systems on  
separate vectors for simplicity in mixing and matching these portions to create a  
variety of PKS resultants. This permits production of libraries of polyketide  
15 syntheses and polyketides through a combinatorial approach rather than manipulation  
focused on a single production system.

### Background Art

Polyketides represent a singularly useful group of natural products which are  
20 related by their general pathway of biosynthesis. Representative members include the  
macrolide antibiotics, for example, erythromycin, spiramycin and tylosin,  
immunosuppressants such as rapamycin and FK506, antiparasitics such as the  
avermectins, antifungal agents such as amphotericin B and nystatin, anticancer agents  
such as daunorubicin and doxorubicin and anticholesterolemics such as mevinolin.  
25 Polyketides generally are secondary metabolites of the actinomycetes including the  
genera *Streptomyces*, *Actinomyces*, *Actinomadura*, *Micromonospora*,  
*Saccharopolyspora*, and *Nocardia*. It was estimated that in 1986 about 6,000  
antibiotics of microbial origin had been characterized of which 70 were in clinical  
use; an additional 1100 metabolites were reported between 1988 and 1992,  
30 approximately 40% of which were polyketides.

Despite the multiplicity of polyketide structures available from nature, there  
remains a need to expand the repertoire of available polyketides and to synthesize a  
multiplicity of polyketides in the form of libraries so that there is a convenient

substrate for screening to identify polyketides that are relevant to a specific target of interest. The present invention provides solutions to these needs

Polyketides generally are synthesized by condensation of two-carbon units in a manner analogous to fatty acid synthesis. In general, the synthesis involves a starter  
5 unit and extender units; these "two-carbon" units are derived from acylthioesters, typically acetyl, propionyl, malonyl or methylmalonyl coenzyme-A thioesters. There are two major classes of polyketide synthases (PKSs) which differ in the "manner" in which the catalytic sites are used -- the so-called "aromatic" PKS and the modular PKS. The present invention employs coding sequences from both these classes as  
10 will further be explained in the herein application.

Recombinant production of heterologous functional PKS -- i.e., a PKS which is capable of producing a polyketide -- has been achieved in *Streptomyces* and hybrid forms of aromatic PKSs have been produced in these hosts as well. See, for example, Khosla, C. *et al. J Bacteriol* (1993) 175:2194-2204; Hopwood, D.A. *et al. Nature*  
15 (1985) 314:642-644; Sherman, D.H. *et al. J Bacteriol* (1992) 174:6184-6190. In addition, recombinant production of modular PKS enzymes has been achieved in *Streptomyces* as described in PCT application WO 95/08548. In all of these cases, the PKS enzymes have been expressed from a single vector. A single vector which carried genes encoding PKS catalytic sites was transformed into *E. coli* by Roberts,  
20 G.A., *et al., Eur J Biochem* (1993) 214:305-311, but the PKS was not functional, presumably due to lack of pantothenoylation of the acyl carrier proteins.

The present invention provides double or multivector systems for production of PKS and the resultant polyketides in a variety of hosts. The use of multiple vectors provides a means more efficiently to enhance the number of combinatorial forms of  
25 PKS and polyketides that can be prepared. Addition of the machinery for pantothenoylation of the acyl carrier proteins (i.e., a holo ACP synthase) permits production of polyketides in a wide spectrum of hosts.

#### Disclosure of the Invention

30 The invention relates to recombinant materials for the production of polyketides in a wide variety of hosts and of libraries of PKS enzymes and the resultant polyketides based on a multiple vector system. The use of a multivector system facilitates the construction of combinatorial libraries and permits more

flexibility in designing various members thereof. The invention also relates to such libraries which are essentially self-screening due to an autocrine system involving polyketide-responsive receptors.

Thus, in one aspect, the invention relates to a recombinant host cell and  
5 libraries thereof when the host cell is modified to contain at least two vectors, a first vector containing a first selection marker and a first expression system and the second vector containing a second selection marker and a second expression system and optionally additional vectors containing additional selectable markers and expression systems, wherein the expression systems contained on the vectors encode and are  
10 capable of producing at least a minimal PKS system. If the minimal PKS system is an aromatic system, the minimal system will comprise a ketosynthase/acyl transferase (KS/AT) catalytic region, a chain length factor (CLF) catalytic region and an acyl carrier protein (ACP) activity. If the minimal PKS system is a modular system, the system will contain at least a KS catalytic region, an AT catalytic region, and an ACP  
15 activity. For modular systems, these activities are sufficient provided intermediates in the synthesis are provided as substrates; if de novo synthesis is to be required, a loading acyl transferase should be included, which will include another AT and ACP region.

In one specific embodiment of this aspect of the invention, the recombinant  
20 host cell will be modified to contain: (a) a first vector comprising a first selectable marker and an expression system comprising a nucleotide sequence encoding a ketosynthase/acyl transferase (KS/AT) catalytic region of an aromatic PKS operably linked to a promoter operable in said cell; (b) a second vector comprising a second selectable marker and an expression system comprising a nucleotide sequence  
25 encoding a chain length factor (CLF) catalytic domain operably linked to a promoter operable in said cell; and (c) a third vector containing a third selectable marker and an expression system which comprises a nucleotide sequence encoding an acyl carrier protein (ACP) activity operably linked to a promoter operable in said cell, and to libraries comprised of colonies of such cells. Alternatively, two of the vectors can be  
30 combined so that the host cell contains only two vectors; the vector containing two expression systems may maintain these as separate expression systems or two open reading frames may be placed under the control of a single promoter.

In another specific embodiment, the invention relates to a cell modified to contain a first vector containing a first selectable marker and an expression system for at least one minimal module of a modular polyketide synthase (PKS) operably linked to a promoter operable in said cell; and a second vector containing a second selectable  
5 marker and a nucleotide sequence encoding at least a second minimal module of a modular polyketide synthase operably linked to a promoter operable in said cell, and to libraries comprising colonies of such cells.

In another variation, one or more expression systems for a defined portion of a PKS system is integrated into the host chromosome and at least one additional  
10 expression system resides on a replicable vector. Thus, in the case of aromatic PKS, an expression system for one of the open reading frames may first be integrated into the chromosome and expression systems for other open reading frames may reside on vectors. In the case of a modular PKS, an expression system for one or more modules may reside on the chromosome and additional expression systems for one or more  
15 modules reside on vectors. The integration of such expression systems into the chromosome can occur either through known phage-mediated integration or by homologous recombination.

The invention also is directed to novel polyketides produced by the methods of the invention and to methods to screen the polyketide libraries obtained.

20 In still another aspect, the invention is directed to methods to obtain the synthesis of polyketides in hosts that lack a mechanism for activation of the acyl carrier proteins -- i.e., which lack holo ACP synthases. By supplying an expression system for a compatible holo ACP synthase either on a separate vector, on one of the vectors in a multiple vector system (or on a single vector for PKS expression), or as a  
25 fusion protein with a PKS or portion thereof, hosts such as *E. coli*, yeast, and other microbial systems which do not customarily synthesize polyketides can be made into convenient hosts. This obviates the necessity for supplying "clean" hosts from polyketide-producing strains of, for example, *Streptomyces*.

### 30 Brief Description of the Drawings

Figure 1 is a diagram showing the composition of several typical aromatic PKS.

Figure 2 is a diagram showing the organization of erythromycin PKS as typical of a modular PKS.

Figure 3 is a diagram showing the organization of the fungal PKS system, 6-methyl salicylic acid synthase (6-MSAS).

5        Figure 4 is a diagram which shows the conceptualization of a multivectorized modular PKS system.

Figure 5 is a diagram of a multivectorized aromatic PKS system.

Figure 6 shows, diagrammatically, the construction of a vector for expression of a holo-ACP synthase and a vector for the expression of the gene encoding  
10        6-MSAS, both vectors for use in yeast.

Figure 7 shows the results of HPLC run on supernatants of yeast cultures transformed with various vectors of the invention.

Figures 8A and 8B show the kinetics of production of the antibiotic 6-methyl salicylic acid (6-MSA) in yeast (Figure 8A) and in *E. coli* (Figure 8B).

15        Figure 9 shows the expression systems for two modular PKS for use in vectors compatible with yeast along with the expected products.

#### Modes of Carrying Out the Invention

The invention in various aspects employs various components of the aromatic,  
20        PKS system, the modular PKS system, a fungal PKS system, or modified forms thereof or portions of more than one of these. The general features of aromatic, modular and fungal PKS systems are shown in Figures 1, 2 and 3 respectively.

“Aromatic” PKS systems are characterized by the iterative use of the catalytic sites on the several enzymes produced. Thus, in aromatic PKS systems, only one  
25        enzyme with a specific type of activity is produced to catalyze the relevant activity for the system throughout the synthesis of the polyketide. In aromatic PKS systems, the enzymes of the minimal PKS are encoded in three open reading frames (ORFs). As shown in Figure 1, the actinorhodin PKS is encoded in six separate ORFs. For the minimal PKS, one ORF contains a ketosynthase (KS) and an acyltransferase (AT); a  
30        second ORF contains what is believed to be a chain-length factor (CLF); and a third reading frame encodes an acyl carrier protein (ACP). Additional ORFs encode an aromatase (ARO), a cyclase (CYC), and a ketoreductase (KR). The combination of a



KS/AT, ACP, and CLF constitutes a minimal PKS, since these elements are necessary for a single condensation of a two-carbon unit.

On the other hand, the *gris* PKS contains five separate ORFs wherein the KS/AT, CLF, and ACP are on three ORFs, the KR is on a fourth, and the ARO is on a fifth.

In the "modular" PKS systems, each catalytic site is used only once and the entire PKS is encoded as a series of "modules." Thus, the modular synthase protein contains a multiplicity of catalytic sites having the same type of catalytic activity. A minimal module contains at least a KS, an AT and an ACP. Optional additional activities include KR, DH, an enoylreductase (ER) and a thioesterase (TE) activity. Figure 2 shows, diagrammatically, the organization of the modular PKS system for the synthesis of the immediate precursor, 6-dEB, for the antibiotic erythromycin. As shown, there is a loading region followed by six modules; the thioesterase on module 6 effects release of the completed 6-deoxyerythronolide B (6-dEB) from the synthase to which it is coupled through a phosphopantotheinyl group. The diagram shows the progressive formation of the 6-deB which is cyclized after removal from the holo ACP on module 6 of the synthase. To convert 6-deB to erythromycin A, two sugar residues are added in subsequent reactions through the hydroxyl groups at positions 3 and 5.

The "fungal" PKS encoding 6-methyl salicylic acid synthase (6-MSAS) has some similarity to both the aromatic and modular PKS. It has only one reading frame for KS, AT, a dehydratase (DH), KR and ACP. Thus, it looks similar to a single module of a modular PKS. These sites are, however, used iteratively. Unlike an aromatic PKS, it does not include a CLF, as shown in Figure 3.

The invention herein employs expression systems for the catalytic activities involved in all of the aromatic, modular and fungal PKS systems. The proteins produced may contain the native amino acid sequences and thus the substrate specificities and activities of the native forms, or altered forms of these proteins may be used so long as the desired catalytic activity is maintained. The specificity and efficiency of this activity may, however, differ from that of the native forms. Certain activities present in the native system, however, can be intentionally deleted. Further, components of various aromatic systems can be mixed and matched, as well as can components of various modules of the module systems. PCT application

WO 95/08548, referenced above and incorporated herein by reference describes the construction of hybrid aromatic PKS systems where, for example, open reading frames of actinorhodin are included in expression vectors with open reading frames from alternative aromatic systems.

5           Expression systems for the PKS proteins alone may not be sufficient for actual production of polyketides unless the recombinant host also contains holo ACP synthase activity which effects pantothenoylation of the acyl carrier protein. This activation step is necessary for the ability of the ACP to "pick up" the "2C" unit which is the starter unit or the growing polyketide chain in the series of Claisen  
10       condensations which result in the finished polyketide. For hosts lacking a phosphopantothenoylating enzyme that behaves as a holo ACP synthase, the invention provides means for conferring this activity by supplying suitable expression systems for this enzyme. The expression system for the holo ACP synthase may be supplied on a vector separate from that carrying a PKS unit or may be supplied on the  
15       same vector or may be integrated into the chromosome of the host, or may be supplied as an expression system for a fusion protein with all or a portion of a polyketide synthase. In general, holo ACP synthases associated with fatty acid synthesis are not suitable; rather, synthases associated specifically with polyketide synthesis or with synthesis of nonribosomal proteins are useful in this regard.

20           Specifically, the modular and fungal PKS systems are not activated by phosphopantothenoylation effected by the phosphopantothenoylation enzymes indigenous to *E. coli*; however, enzymes derived from *Bacillus*, in particular the gramicidin holo ACP synthase of *Bacillus brevis* and the surfactin-related holo-ACP synthase from *Bacillus subtilis* can utilize the modular and fungal PKS ACP domains  
25       as substrates. As shown in the Examples below, while inclusion of an expression system for an appropriate holo-ACP synthase is not necessary for just the expression of the genes encoding fungal or modular PKS in *E. coli* or yeast, inclusion of such expression systems is required if polyketides are to be produced by the enzymes produced.

30           It should be noted that in some recombinant hosts, it may also be necessary to activate the polyketides produced through postsynthesis modifications when polyketides having antibiotic activity are desired. If this is the case for a particular host, the host will be modified, for example by transformation, to contain those

enzymes necessary for effecting these modifications. Among such enzymes, for example, are glycosylation enzymes.

The combinatorial possibilities for synthesis of aromatic PKS systems depend on the nature of the iteratively used sites and the presence or absence of the optional activities that are not part of the minimal PKS system required for the Claisen condensation which represents the synthetic mechanism for the end-product polyketide. Thus, while the aromatic PK synthase must contain a KS/AT, ACP and CLF, the other catalytic activities, i.e. KR, ARO, and CYC are optional. Fungal PK synthases require only KS, AT, and ACP functionalities, as do the modular PKS systems. Various combinations of these activities from various sources can be used as well as their mutated forms.

Because the catalytic sites are used only once in the modular PKS systems, the combinatorial possibilities in this type of synthase are greater. The combinatorial potential of a modular PKS is given by:  $AT_L \times (AT_E \times 4)^M$  where  $AT_L$  is the number of loading acyl transferases,  $AT_E$  is the number of extender acyl transferases, and  $M$  is the number of modules in the gene cluster. The number 4 is present in the formula because this represents the number of ways a keto group can be modified by either 1) no reaction; 2) KR activity alone; 3) KR+DH activity; or 4) KR+DH+ER activity. It has been shown that expression of only the first two modules of the erythromycin PKS resulted in the production of a predicted truncated triketide product. See Kao, *et al. J Am Chem Soc* (1994) 116:11612-11613. A novel 12-membered macrolide similar to methymycin aglycone was produced by expression of modules 1-5 of this PKS in *S. coelicolor*. See Kao, C. *et al. J Am Chem Soc* (1995) 117:9105-9106. This work, as well as that of Cortes, J. *et al. Science* (1995) 268:1487-1489, shows that PKS modules are functionally independent so that lactone ring size can be controlled by the number of modules present.

In addition to controlling the number of modules, the modules can be genetically modified, for example, by the deletion of a ketoreductase domain as described by Donadio, S. *et al. Science* (1991) 252:675-679; Donadio, S. *et al. Gene* (1992) 115:97-103. In addition, the mutation of an enoyl reductase domain was reported by Donadio, S. *et al. Proc Natl Acad Sci USA* (1993) 90:7119-7123. These modifications also resulted in modified PKS and thus modified polyketides.

As stated above, in the present invention, the coding sequences for catalytic activities derived from the aromatic, fungal or modular PKS systems found in nature can be used in their native forms or modified by standard mutagenesis techniques to delete or diminish activity or to introduce an activity into a module in which it was not originally present. For example, a KR activity can be introduced into a module normally lacking that function.

While the art, as set forth above, has succeeded in producing some novel polyketides by virtue of construction of hybrid and/or altered aromatic or modular PKS systems in *Streptomyces* from a single expression vector, advantage has not been taken of using a multiple vector system in host cells generally in order to produce a wider variety of synthases. By "multiple" is meant two or more; by "vector" is meant a nucleic acid molecule which can be used to transform host systems and which contains both a selectable marker and an independent expression system containing a coding sequence under control of a promoter and any other suitable sequences regulating expression. Typical such vectors are plasmids, but other vectors such as phagemids, cosmids, viral vectors and the like can be used according to the nature of the host.

Of course, one or more of the separate vectors may result in integration of the relevant expression systems into the chromosome of the host.

Neither have microbial hosts generally, such as *E. coli* and yeast, been used successfully to construct polyketides. It is believed that this is due to the lack of holo ACP synthase which, according to the methods of the invention, can be supplied to these hosts.

Thus, in order to produce the polyketides of the invention, suitable hosts are modified to contain vectors, typically plasmids, which contain expression systems for the production of proteins with one or more of the activities associated with PKS. By placing various activities on different expression vectors, a high degree of variation can be achieved. A variety of hosts can be used; any suitable host cell for which selection markers can be devised to assure the incorporation of multiple vectors can readily be used. Preferred hosts include yeast, *E. coli*, actinomycetes, and plant cells, although there is no theoretical reason why mammalian or insect cells or other suitable recombinant hosts could not be used. Preferred among yeast strains are

*Saccharomyces cerevisiae* and *Pichia pastoris*. Preferred actinomycetes include various strains of *Streptomyces*.

The choice of hosts, of course, dictates the choice of the control sequences associated with the expression system as well as the selectable markers. Suitable promoter systems, for example, for use in *E. coli* include the tryptophan (trp) promoter, the lactose (lac) promoter, the T7 promoter and the  $\lambda$ -derived P<sub>L</sub> promoter and N-gene ribosome binding site. For yeast, suitable control sequences include promoters for the synthesis of glycolytic enzymes, such as 3-phosphoglycerate kinase. Other promoters include those for alcohol dehydrogenase (ADH-1 and ADH-2), isocytochrome-C, acid phosphatase, degradative enzymes associated with nitrogen metabolism and enzymes responsible for maltose and galactose utilization. It is also believed that terminator sequences are desirable at the 3' end of the coding sequences.

Suitable promoters for use in mammalian cells, actinomycetes, plant cells, insect cells and the like are also well known to those in the art.

Selectable markers suitable for use in bacteria such as *E. coli* and actinomycetes generally impart antibiotic resistance; those for use in yeast often complement nutritional requirements. Selectable markers for use in yeast include, but are not restricted to *URA3*, *LEU2-d*, *TRP1*, *LYS2*, *HIS1*, *HIS3*. Selectable markers for use in actinomycetes include, but are not restricted to those for thiostrepton-, apramycin- hygromycin-, and erythromycin-resistance.

Methods and materials for construction of vectors, transformation of host cells and selection for successful transformants are well understood in the art.

Thus, according to one embodiment of the invention herein, a single host cell will be modified to contain a multiplicity of vectors, each vector contributing a portion of the synthesis of a PKS system. In constructing multiple vectors for production of aromatic PKS systems, the separate reading frames such as those shown in Figure 1 may be incorporated on separate vectors or, if properly constructed, portions of reading frames can be distributed among more than one vector, each with appropriate sequences for effecting control of expression. For modular systems a single module or more than one module may reside as a part of an expression system on a single vector; multiple vectors are used to modify the cell to contain the entire desired PKS system.

As stated above, one or more of the expression systems introduced into the host may be integrated into the chromosome.

Thus, to prepare the libraries of the invention, suitable host cells are transformed with the desired number of vectors; by using different selectable markers  
5 on each vector desired as part of the modification, successful transformants which are modified by inclusion of all the desired vectors can be selected. By using mixtures of a first vector with a first selectable marker containing a multiplicity of expression systems for a portion of a PKS synthase, and a mixture of a second vector with expression systems for a variety of a second portion of a PKS system, and so forth,  
10 colonies of successful transformants are obtained that have a combinatorial representation of "hybrid" PKS systems. By preparing panels of individual colonies of such successful transformants, a library of PKS systems is obtained and thereby a library of polyketides. An expression system for holo ACP synthase is also supplied if needed. The polyketides may be glycosylated depending on the nature of the host.

15 This approach can also be modified by effecting the integration of the appropriate portion of one or more of the multiple vectors into the chromosome of the host. Integration can be effected using suitable phage vectors or by homologous recombination. If homologous recombination is used, the integration may also delete endogenous PKS activity ordinarily residing in the chromosome, as described in the  
20 above-cited PCT application WO 95/08548. In these embodiments, too, a selectable marker such as hygromycin or thiostrepton resistance will be included in the vector which effects integration.

The libraries of polyketides can then be screened for activity with respect to any polyketide responsive target in order to identify particular polyketide members  
25 that will activate or otherwise bind to the target. Such screening methods are standard in the art.

In a particularly preferred embodiment of the invention, the library can be made self-screening by introducing a polyketide-responsive receptor that is intracellular to or is displayed at the surface of the host cell producing the polyketide  
30 itself. This "autocrine" system allows the colonies to self-select for those activating the receptor. Such systems are described, for example, in an article by Broach, J.R. and Thorner, J., *Nature* (1996) 384:Supp.7:14-16.

Autocrine systems need not be limited, however, to receptors, but can include proteins that are expressed internal to the cell and whose interaction can be evaluated with respect to the polyketides produced, in a manner analogous to the yeast 2 hybrid system described by Fields in U.S. Patent 5,283,173.

5        Thus, the cells are modified to create "cell-based detection systems for polyketide function." The function of the polyketide may include agonist or antagonist activity with respect to a receptor which is either produced at the surface of the cell or produced intracellularly, or the polyketides may be agonists or antagonists for two hybrid interaction screens so that it will be possible to select for protein-  
10       protein interaction inhibitors or cross-linking factors analogous to rapamycin and FK506.

It should be noted, that such cell-based detection systems are also useful in screening libraries of polyketides which are produced from cells containing only single vector systems. Thus, these improvements are applicable not only to the  
15       multivector combinatorial libraries of the present invention but also to polyketide synthase and polyketide libraries produced using cells containing these systems on a single expression vector.

As mentioned above, additional enzymes which effect post translational modifications to the enzyme systems in the PKS may need to be introduced into the  
20       host through suitable recombinant expression systems. In addition, enzymes that activate the polyketides themselves, for example, through glycosylation may be needed. It may also be necessary to modify the catalytic domains to alter their substrate specificity or to substitute domains with the appropriate specificity. For example, it is generally believed that malonyl CoA levels in yeast are higher than  
25       methylmalonyl CoA; if yeast is chosen as a host, it may be desirable to include catalytic domains that can utilize malonyl CoA as an extender unit, such as those derived from spiramycin or tylosin.

Figure 4 diagrams one embodiment of the conceptual basis of the present invention wherein three separate vectors are employed to produce a modular PKS. As  
30       shown, each vector permits the construction of 64 different open reading frames using two extender ATs (one from methylmalonyl CoA and the other from malonyl CoA) and the four combinations involving KR, DH, and ER as described above. Thus, module No. 1 may employ malonyl CoA as an extender unit; module No. 2

methyalmalonyl CoA; the opposite sequence can be used, or both extenders might use malonyl CoA or both might use methyalmalonyl CoA. This results in four separate types of extender combinations, each of which is multiplied by the four KR/DH/ER variants. Each separate plasmid offers the same set of possibilities; one of the  
5 plasmids must also contain a loading function and one must contain a thioesterase function. Thus, by construction of 192 plasmids, the upper limit of synthesis of novel polyketides is  $64 \times 64 \times 64$  or 262,144 molecules, providing an efficient method to obtain large numbers of novel polyketides.

Figure 5 shows an approach to a multiple vector aromatic PKS that is set forth  
10 in greater detail in Example 11 hereinbelow. In Figure 5, the three separate reading frames of a typical aromatic polyketide synthase are placed on separate vectors. Thus, each reading frame can be derived from a different aromatic polyketide synthase if desired.

Another modification useful in varying the polyketides produced regardless of  
15 the host cell employed manipulates the PKS, in particular a modular or fungal PKS, to inactivate the ketosynthase (KS) on the first module. This permits enhanced efficiency in permitting the system to incorporate a suitable diketide thioester such as 3-hydroxy-2-methyl pantonoic acid-N-acetyl cysteamine thioester, or similar thioesters of diketide analogs, as described by Jacobsen *et al. Science* (1997) 277:367-  
20 369. The construction of PKS modules containing inactivated ketosynthase regions is described in copending U.S. application 08/675,817 and published in PCT application WO97/02358 incorporated herein by reference. These modified PKS modules can be employed in the various embodiments of the invention in preparing libraries using multivector methods and/or in *E. coli* and yeast-based production organisms for the  
25 polyketides which may require the additional expression of a gene encoding a suitable holo-ACP synthase.

Thus, the present invention provides the opportunity to produce polyketides in hosts which normally do not produce them, such as *E. coli* and yeast. The invention also provides more efficient means to provide a variety of polyketide products by  
30 supplying the elements of the introduced PKS, whether in an *E. coli* or yeast host or in other more traditionally used hosts, on multiple separate vectors. The invention also includes libraries of polyketides prepared using the methods of the invention.



### Uses of Polyketides

As is well understood, the polyketides, in their glycosylated forms, are powerful antibiotics. In addition, many polyketides are immunosuppressants and anticancer agents. It has also been found that polyketides or their glycosylated forms can reduce inflammation under certain circumstances. This is believed to be due to the ability of certain antibiotics to inhibit the release of cytokines such as IL-8. For example, Hott, M. in the *Kurume Medical Journal* (1996) 43:207-217 concludes that the favorable clinical effect of erythromycin in cryptogenic organizing pneumonia and related conditions is due to inhibition of neutrophil accumulation in the peripheral airways through local suppression of IL-8 production. In further experimental work, Tamaoki, J. *et al. Antimicrobial Agents and Chemotherapy* (1996) 40:1726-1728 showed that pretreatment of guinea pigs with roxithromycin or erythromycin inhibited the increase in goblet cell secretion when IL-8 was inhaled. Hamada, K. *et al. Chemotherapy* (1995) 41:59-69 showed that the antitumor effect of erythromycin in mice was due to enhancing the production of IL-4. In another study, Keicho, N. *et al., Journal of Antibiotics* (Tokyo) (1993) 46:1406-1413, state that erythromycin has been reported to depress the extent of inflammation independent of its antimicrobial action and show that erythromycin suppresses the proliferative response of human lymphocytes stimulated with mitogens and antigens but had no effect on concanavalin-A induced IL-2 production or IL-2R- $\alpha$  expression. Bailly, S. *et al. Antimicrobial Agents and Chemotherapy* (1991) 35:2016-2019 showed that roxithromycin, spiramycin and erythromycin have differing effects on production of IL-1 $\alpha$ , IL-1 $\beta$  and IL-6 as well as tumor necrosis factor  $\alpha$ . Spiramycin, and to a lesser extent, erythromycin increase total IL-6 production without affecting IL-1 $\alpha$ , IL-1 $\beta$  or TNF $\alpha$ . Roxithromycin had no effect.

Thus, there are a number of papers which indicate that antibiotics are also important in modulating inflammatory mechanisms. The literature appears to show that erythromycin diminishes the production of IL-8, but enhances the production of IL-6, IL-1 and IL-2. Spiramycin has been shown to enhance the production of IL-6.

These examples are intended to illustrate but not to limit the invention.

Example 1Construction of 102d, a 6-MSAS Ycast Expression Vector

Control sequences effective in yeast were obtained and inserted into plasmid pBlueScript (Stratagene) along with a polylinker. The *S. cerevisiae* ADH2 promoter  
 5 was amplified by PCR using the following primers:

forward: GGGAGCTCGGATCCATTTAGCGGCCGCAAAACGTAGGGGC

reverse:

CCGAATTCTAGAGGTTTCATATGGTATTACGATATAGTTAATAG.

The forward primer contains 15 bases complementary to the 5' ADH2  
 10 sequence and introduces *SacI* (nucleotides 3-8), *BamHI* (nucleotides 9-14), and *NotI* (nucleotides 20-27) restriction sites. The reverse primer contains 15 bases complementary to the 3' ADH2 sequence and introduces *NdeI* (nucleotides 18-23), *XbaI* (nucleotides 7-12), and *EcoRI* (nucleotides 3-8) sites.

The ADH2 terminator was amplified by PCR using the following primers:

15 forward:

GGGAATTCATAGTCGACCGGACCGATGCCTTCACGATTTATAG

reverse:

TTTTCTATTATAAGATGAAAACGAGGGGAGCTCCCATGGCC.

The forward primer introduces *EcoRI* (nucleotides 3-8), *Sall* (nucleotides 12-  
 20 17), and *RsrII* (nucleotides 17-24) restrictions sites. The reverse primer introduces *XhoI* (nucleotides 29-34) and *Asp718* (nucleotides 35-40) restriction sites.

The *SacI/EcoRI* fragment containing the ADH2 promoter, the *EcoRI/Asp718* fragment containing the ADH2 terminator, and the *SacI/Asp718* fragment of pBlueScript were ligated to produce an intermediate vector, 43d2 which contains  
 25 cloning sites (L2) for 6MSAS and the gene for the surfactant phosphopantothein transferase from *B. subtilis* (the *sfp* gene). See Figure 6. It also contains sites (L1, L3) for transferring the promoter/terminator cassette into yeast shuttle vectors as well as sites (L1, L2) for moving the promoter/gene cassettes from the intermediate BlueScript vector into the yeast shuttle vector.

30 The ADH2 promoter/terminator was then introduced into the *E. coli*/yeast shuttle vector pYT (a gift from Dr. S. Hawkes, University of California, San Francisco). The 13.2-kbp *BamHI/Sall* restriction fragment from pYT was ligated to

the 757-bp *Bam*III/*Xho*I restriction fragment from 43d2 to yield plasmid 101c, which contains Lcu and Ura markers for selection.

To complete construction of the expression vector, a 5.3-kbp *Nde*II/*Xba*I restriction fragment containing the gene for 6-methylsalicylic acid synthase (6-MSAS) from *Penicillium patulum* was obtained from demethylated plasmid pDB102 (Bedford, D., et al., *J Bacteriology* (1995) 177:4544-4548) and ligated into *Nde*II/*Xba*I-restricted 43d2, yielding intermediate plasmid 71d. The 6.1-kbp *Not*II/*Rsr*II restriction fragment from 71d was ligated to the 12.6-kbp *Not*II/*Rsr*II restriction fragment from 101c to produce the expression vector 102d.

### Example 2

#### Expression of 6-MSAS in *Saccharomyces cerevisiae*

Competent *Saccharomyces cerevisiae* InvSc1 (MATa his3D1 leu2 trp1-289 ura3-52) (Invitrogen) was transformed with 102d, then plated on minimal agar plates (1.7 g/L yeast nitrogen base without amino acids or ammonium sulfate (DIFCO), 5 g/L (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 20 g/L glucose, 20 g/L agar containing amino acids for selection based on uracil prototrophy. Transformants were picked and grown for 24 hours in uracil-deficient minimal medium. Plasmid DNA was isolated from the transformants and analyzed by restriction digestion analysis to confirm identity.

A successful transformant was used to inoculate 2 mL of uracil-deficient minimal medium and was grown overnight at 30°C on an orbital shaker. A 100-uL aliquot of this culture was used to inoculate 10 mL of YPD medium (Wobbe, C.R., in *Current Protocols in Molecular Biology*, Supplement 34:13.0.1-13.13.9 (Wiley, 1996)) (10 g/L yeast extract, 20 g/L peptone, 20 g/L glucose), and the culture was grown at 30°C on a shaker.

Cells were collected by centrifugation of 500 uL-aliquots of the culture taken after 18 and 36 hours of growth and lysed by boiling in 50 uL of 2x SDS gel loading buffer for 2 minutes.

The cell lysates were analyzed by loading onto 12% SDS-PAGE gels. A band corresponding to the expected size of 6-MSAS was observed at ca. 190 kD.

### Example 3

#### Construction of a Holo ACP Synthase Expression Vector

The *Bacillus subtilis* *sfp* gene encodes a holo ACP synthase, i.e., a phosphopantothenoyl transferase, and is inserted into plasmid YepFLAG-1

5 (IBI/Kodak).

The 5.7-kbp *PacI*/*NotI* restriction fragment of YepFLAG-1 was ligated with a synthetic polylinker to introduce the following restriction sites:

(*PacI*) - *BamHI* - *NotI* - *NcoI* - *RsrII* - *XhoI* - *Sall* - (*NotI*).

The original *PacI* and *NotI* ligation sites were destroyed in the ligation. The  
10 resulting vector was cut with *BamHI* and *Sall* and was ligated to *BamHI*/*XhoI*-digested 43d2 (see Example 1) to introduce the ADH2 promoter/terminator, thus obtaining the plasmid 126b. The *Bacillus subtilis* *sfp* gene was amplified from the plasmid pUC8-*sfp* (Nakano, M. *et al. Mol Gen Genet* (1992) 232:313-321) by PCR using the primers:

15 forward: TAGACACATATGAAGATTACGGAATTTATATG

reverse: TACATTCTAGAAATTATAAAAGCTCTTCG.

The forward primer introduces a *NdeI* restriction site (nucleotides 7-12) and the reverse primer introduces an *XbaI* site (nucleotides 6-11).

The resulting PCR fragment was ligated into the *NdeI* and *XbaI* sites of 43d2  
20 to produce plasmid 109c.

The 1.3-kbp *BamHI*/*Sall* restriction fragment of 109c was ligated to *BamHI*/*Sall*-digested 126b to produce expression vector 128a which contains the *sfp* gene under control of the ADH sequences and tryptophan prototrophy as selection marker.

25

### Example 4

#### Production of 6-methylsalicylic Acid in Yeast

Competent *Saccharomyces cerevisiae* InvSc1 cells were transformed with 102d (6 MSAS) and 128a (*sfp* holo ACP synthase). 128a was used in the first  
30 transformation with selection for tryptophan prototrophy; a successful transformed was then transfected with 102d, with selection for tryptophan and uracil prototrophy. Transformants appeared after 48-72 hr at 30°C.

Single colonies of the 6 MSAS/sfp transformants were grown 24-48 hr at 30°C in tryptophan- and uracil-deficient minimal medium, after which 100 µl was used to inoculate 10 ml of YPD medium. Cultures were grown for 18 hr at 30°C in an orbital shaker at 225 rpm. YPD medium (50 ml) was inoculated with 0.5 ml of the overnight  
5 cultures and incubated at 30°C for 142 hr. One ml aliquots were removed periodically and the cells were collected by centrifugation. The cells were suspended in SDS-PAGE loading buffer, boiled for 2 min and subjected to SDS-PAGE to determine the production of the PKS protein. The supernatants were analyzed for 6-methylsalicylic acid production by injection of 20 µL onto an HPLC (C18 reverse-  
10 phase column, water/acetonitrile/acetic acid gradient, diode-array UV detection). The LC parameters were as follows: Solvent A = 1% acetic acid in water; Solvent B = 1% acetic acid in acetonitrile; gradient = 20% B to 80% B in 30 min then to 100% in 2 min; flow rate = 0.5 ml/min. The amount of 6-methylsalicylic acid was quantitated by peak integration at 307 nm. A standard curve was generated using authentic 6-  
15 methylsalicylic acid (Seidel, J.L., *et al.*, *J Chem Ecology* (1990) 16:1791-1816).

The results of a typical experiment are shown in Figure 7. Yeast which contained only the control plasmid 101c or control plasmid and the sfp expression plasmid 128a produced no 6-MSA (trace b, d). Yeast containing only the 6-MSAS expression vector 102d produced a barely detectable amount of 6-MSA (trace c).  
20 Yeast containing both the 6-MSAS expression vector 102d and the sfp expression vector 128a produced as much as 1.7 g/l of 6-MSA (trace a).

The kinetics for yeast growth and 6-MSA production for the transformant are shown in Figure 8A. As shown, the open squares represent growth as measured by OD<sub>600</sub>. The closed circles represent the production of 6-MSA in g/L. The production  
25 of 6-MSA begins when glucose is depleted consistent, with derepression of the ADH2 promoter. A plateau was reached after about 60 hr of growth and remained constant up to 150 hr.

For large-scale preparation of 6-MSA, a 500 ml yeast culture harboring the two plasmids was grown for 120 hr and the cells were removed by centrifugation.  
30 The supernatant broth (280 ml) was acidified with 28 ml glacial HOAc, then extracted with 280 ml ethyl acetate. The organic extract was concentrated to dryness under reduced pressure. The crude product was purified by crystallization from water and the crystals were dried under vacuum over KOH. The identity of 6-MSA was

confirmed by NMR and mass spec. In the specific experiment described above, the 280 ml of cell-free yeast culture yielded 240 mg of 6-MSA as crystalline needles. Shake flask cultures typically produced over 1 g/L of 6-methylsalicylic acid.

5

#### Example 5

##### Construction of the DEBS Module 6 KR-ACP-TE Expression Vector, Plasmid 104

The plasmid, 90, which contains a T5 promoter, 2 lac operators, and lac<sup>lq</sup> [?] was constructed by ligating a 1.1-kbp *XhoI/XbaI* fragment of pQE60 (Qiagen) to the larger *XhoI/XbaI* fragment of pET22b(+) (Novagen). A *PstI/EcoRI* restriction  
10 fragment containing the DNA encoding module 6 KR-ACP-TE was ligated into plasmid 90 to give plasmid 104, an expression vector for this module.

#### Example 6

##### Phosphopantothenylation of Module 6 KR-ACP-TE

15

#### A. In vivo:

The  $\beta$ -alanine auxotroph *Escherichia coli* SJ16 (*E. coli* Genetic Stock Center), was cotransformed with 104 and a holo-ACP synthase expression plasmid containing genes for either:

- E. coli* fatty acid synthase holo-ACPS (ACPS);
- 20 *E. coli* enterobactin synthetase holo-ACPS (EntD), or
- Bacillus brevis* gramicidin synthetase holo-ACPS (GsP).

Holo-ACPS expression plasmids were generous gifts of Dr. Daniel Santi, UCSF (Ku, J., *et al.*, *Chemistry & Biology* (1997) 4:203-207).

Each cotransformant was grown in minimal medium E (Vogel, H.J. *et al.*, *J Biol Chem* (1956) 218:97-106) supplemented with 0.001% thiamine, 0.01%  
25 methionine, and 100  $\mu$ M  $\beta$ -alanine at 37°C for 20 h. Cells were collected by centrifugation and washed with 1 mL of growth medium without  $\beta$ -alanine. This wash was repeated four times. Finally, the cells were incubated in 1 mL of growth medium without  $\beta$ -alanine at 37°C for 6 h.

30 A 30- $\mu$ L aliquot of the starved cells was added to 1 mL of growth medium supplemented with 0.52  $\mu$ M [<sup>3</sup>H]- $\beta$ -alanine (1  $\mu$ Ci, American Radiolabeled Chemicals, Inc.). After 6 h at 37°C, the cells were induced by addition of IPTG to 1 mM, kept for an additional 3 h at 37°C, and centrifuged. The cell pellet was boiled in

SDS gel loading buffer, then analyzed on a 10% SDS-PAGE gel. The gel was stained with Coomassie Blue, photographed, soaked in Amplify (Amersham), dried, and autoradiographed using Kodak Bio-MAX film for 2 days.

The module 6 KR-ACP-TE fragment of DEBS was efficiently labeled upon  
5 coexpression with GsP and with EntD, while no labeling was observed upon coexpression with ACPS. The inability of ACPS to activate the DEBS fragment is expected based on the known inactivity and lack of phosphopantothienoylation of the DEBS protein when expressed in *E. coli* (Roberts *et al. Eur J Biochem* (1993) 214:305-311).

10 B. In vitro: The module 6 KR-ACP-TE fragment of DEBS was purified from *E. coli* transformed with p104 using a  $\text{Ni}^{+2}$  affinity column following manufacturer's directions (Invitrogen). Purified surfactin synthetase holo-ACPS (sfp) from *Bacillus subtilis* was a gift of Dr. Christopher Walsh (Harvard Medical School). Labeled 3H-coenzyme A was a gift of Dr. Daniel Santi (UCSF).

15 All assays were performed in 10 mM  $\text{MgCl}_2$ , 50 mM Tris-HCl (pH 8.8), in a total volume of 100  $\mu\text{L}$ , and contained 40,000 cpm of 3H-coenzyme A and 0.39  $\mu\text{M}$  sfp. A positive control contained 1.8  $\mu\text{M}$  PheAT domain from gramicidin synthetase (Dr. Daniel Santi, UCSF) which is normally pantothienoylated by sfp. Reactions were kept 12 h at 37°C, then boiled in SDS gel loading buffer and analyzed on a 10% SDS-  
20 PAGE gel. The gel was stained with Coomassie Blue, photographed, soaked in Amplify (Amersham), dried, and autoradiographed using Kodak Bio-MAX film for 2 days.

Both PheAT and the module 6 KR-ACP-TE fragment of DEBS were efficiently labeled by sfp.

25

#### Example 7

##### Production of 6-methylsalicylic acid in *Escherichia coli*

The plasmid 90 (see Example 5) was converted to p95 by inserting a linker between the *EcoRI/HindIII* in plasmid 90 so as to introduce restriction sites *NdeI* and  
30 *SpeI* adjacent to the T5 promoter. The 6-MSAS expression vector, 109, was constructed by ligating a *NdeI/XbaI* fragment containing the 6-MSAS open reading frame (Pfeifer, E. *et al. Biochemistry* (1995) 34:7450-7459) with the large *NdeI/SpeI*

fragment of 95 leaving about 1 kbp of the linker between the *SpeI* and *HindIII* sites of the vector.

The *sfp* expression vector, 108, was made by ligating a 1.1-kbp *EcoRI/PvuII* restriction fragment of pUC8-*sfp* (see Example 3) to pACYC-184 (New England Biolabs) cut with *EcoRV* after fill-in of the *EcoRI* site by DNA polymerase I. The orientation of the *sfp* gene with respect to the promoter was verified by *HindIII* digestion.

Plasmids 108 and 109 were cotransformed into *E. coli* C2453, and transformants were selected by chloramphenicol and ampicillin resistance. A single colony containing both plasmids was grown in ATCC medium 765 supplemented with 10% glycerol at 37°C to a density of 1.0 OD<sub>600</sub> then cooled to 30°C and induced by addition of 0.5 mM IPTG. Cell growth was continued for 36 hr at 30 °C. Protein expression was checked by 10% SDS-polyacrylamide gel. The formation of 6-methylsalicylic acid was followed by HPLC analysis of the culture broth.

The concentration of 6-MSA was estimated as described in Example 4 from a plot of concentration vs integrated area of corresponding HPLC peak using an authentic sample. The identity of the product was confirmed by LC-mass spectroscopy, which revealed  $[M+H]^+ = 153$ , with a major fragment at  $m/z = 135$  corresponding to loss of H<sub>2</sub>O. Under these conditions, the culture produced 50 mg/L of 6-methylsalicylic acid.

The production of 6-MSA in *E. coli* was dependent on the presence of the plasmid encoding the *sfp* protein. *E. coli* transformed with only the 6-MSAS expression vector, 109, when induced by IPTG followed by incubation at 37°C for 4 hr, showed production of the approximately 190 kD 6-MSAS at about 5% of total protein. However, most of the protein was insoluble and 6-MSA was not detected in the medium. When the  $\beta$ -alanine auxotroph *E. coli* SJ16 containing the 6-MSAS expression vector 109 was incubated with labeled  $\beta$ -alanine before and after induction, no radioactivity was found in the 6-MSAS band on SDS-PAGE; thus, it appears the 6-MSAS was not modified with the phosphopantotheinyl cofactor by endogenous transferase. In a similar experiment involving *E. coli* SJ16 cotransformed with both plasmid 108 and 109, a detectable amount of radioactivity was found in the 190 kD 6-MSAS band; however, no 6-MSA was detected under these conditions. However, when the temperature of incubation was lowered to promote proper protein



folding and glycerol was added to the medium to increase levels of intracellular malonyl CoA substrate, production of 6-MSA was improved. Thus, when cells were grown at 30°C in the absence of glycerol or at 37°C in the presence of 10% glycerol, no 6-MSA was produced. However, when grown as described above at 30°C in the presence of 10% glycerol, 6-MSA was produced up to about 75 mg/L after 24 hr of incubation. The kinetics of production are shown in Figure 8B.

### Example 8

#### Production of 6-methylsalicylic acid in *Saccharomyces cerevesiae* using a PKS-holo ACP synthase fusion protein

A fusion protein between the *Penicillium patulum* 6-methylsalicylic acid synthase (6-MSAS) and the *Bacillus subtilis* surfactin holo ACP synthase (sfp) was made as follows:

A 5.3-kbp *NdeI/HindIII* fragment containing the 6-MSAS gene (see Example 1) was ligated with a 708-bp *HindIII/XbaI* fragment containing the sfp gene (see Example 3) and with *NdeI/XbaI*-restricted 43d2 (see Example 1) to produce intermediate plasmid 69. A ca. 6-kbp *NotI/RsrII* restriction fragment from 69 was ligated with *NotI/RsrII*-restricted 101c (see Example 1) to yield the yeast expression vector 26a1 (see Example 1). This vector contains the 6-MSAS/sfp fusion gene between the ADH2 promoter/terminator pair.

The resulting fusion protein consisted of connecting the C-terminal lysine of 6-MSAS with the N-terminal methionine of sfp using an (alanine)<sub>3</sub> linker, such that the DNA sequence of the gene in the region of the fusion was:

5'-AAGCTTGCCAAA-GCCGCCGCC-ATGAAAGATTTAC-3'

where the lysine and methionine codons are underlined.

Transformation of *S. cerevesiae* InvSc1 with 26a1 and culturing as described in Example 3 resulted in production of 6-methylsalicylic acid at a level comparable with that resulting from expression of 6-MSAS and sfp as separate genes. The fusion protein thus combines the enzymatic activities of 6-MSAS and of sfp, self phosphopantothienoylates, and produces polyketide product.

This is especially useful for transformation of hosts where the number of plasmid replicons useable for expression vectors is limited, where polycistronic

messages are not properly processed, or where transformation with multiple vectors is difficult and/or time-consuming.

#### Example 9

5     Production of 6-deoxyerythronolide B by mixed chromosomal/plasmid expression systems in *Streptomyces lividans* using chromosomal integration

To demonstrate the feasibility of dividing the three DEBS genes between chromosomal and plasmid expression systems, two experiments were performed. In both experiments, the integrating vector pSET152 (Bierman, M., *et al.*, Gene (1992) 10     116:43-49) was used to place one gene of the DEBS gene cluster under control of the actinorhodin promoter onto the *Streptomyces* chromosome at the phage attachment site. The remaining genes were placed onto the replicating plasmid, pRM5 (McDaniel *et al.*, *Science* (1993) 262:1546-1550), also under control of the actinorhodin promoter.

15     A.     The eryAIII gene (encoding modules 5 and 6 and the thioesterase of DEBS) under control of the actinorhodin promoter was cloned into pSET152. The resulting vector was used to transform *S. lividans* K4-114, a strain in which the actinorhodin gene has been deleted by homologous recombination by standard methods (US patent application 08/238,811 incorporated herein by reference).

20     Apramycin-resistant transformants were selected.

An expression plasmid was constructed by cloning the eryAI and eryAII genes (containing modules 1+2 and 3+4, respectively) into the *PacI/EcoRI* sites of pRM5 so that the two genes were under the control of the actinorhodin promoter. This plasmid was used to transform protoplasts of the *S. lividans* clone containing the integrated 25     eryAIII gene, and colonies resistant to both thiostrepton and apramycin were selected.

B.     Alternatively, the actinorhodin promoter and the eryAI gene were cloned into pSET152 and subsequently integrated into the *S. lividans* chromosome. The eryAII and eryAIII genes were cloned into pRM5 behind the actinorhodin promoter, and this plasmid was used to transform the *S. lividans* strain containing the 30     integrated eryAI gene.

Randomly selected colonies of the above organisms containing mixed chromosomal-plasmid expression systems were cultured on R2YE medium over XAD-16 resin, and ethanol extracts of the resin collected after 7 days were analyzed

for production of 6-deoxyerythronolide B by LC/mass spectrometry. Cultures from both experiments A and B produced 6-deoxyerythronolide B at levels of 15-20 mg/L, comparable to that found in extracts of cultures of *S. lividans* containing pCK7, a replicating plasmid containing all three eryA genes under control of the actinorhodin promoter.

#### Example 10

##### Production of 6-deoxyerythronolide B by mixed chromosomal/plasmid expression systems in *Streptomyces lividans*

10 An alternative method for constructing a mixed chromosomal-plasmid expression system for multi-gene PKSs also achieves simultaneous creation of a clean host for polyketide production. A suitable expression host, which normally produces a polyketide product, has its chromosomal PKS genes replaced by a subset of the foreign PKS genes through homologous recombination. This accomplishes the  
15 desired chromosomal integration of the foreign PKS genes while simultaneously eliminating interference from and competition by the native PKS. The example is readily illustrated for *S. coelicolor* and *S. lividans*, both of which make the blue polyketide actinorhodin.

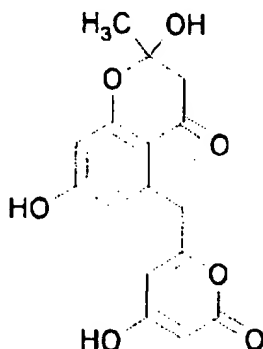
A method by which the entire actinorhodin gene cluster is removed from these  
20 organisms and replaced with an antibiotic marker through homologous recombination has been described (US patent application 08/238,811). This method is adapted as follows: The recombination vector consists of any vector capable of generating single-stranded DNA (e.g., pBlueScript) containing the following elements: 1) a DNA sequence homologous to the 5' 1-kbp end of the act cluster; 2) a resistance marker  
25 (e.g., hygromycin or thiostrepton); 3) the act II-orf4 activator gene; 4) the act promoter; 5) one or more genes of the foreign PKS; and 6) a DNA sequence homologous to the 3' 1-kbp end of the act cluster. Transformation of *S. coelicolor* or *S. lividans* with the recombination vector followed by selection for hygromycin resistance and screening for loss of blue color provides a host lacking the actinorhodin  
30 gene cluster and containing a chromosomal copy of the foreign PKS genes along with the needed actinorhodin control elements. This host is subsequently transformed by replicating vectors (e.g., SCP2\*-based plasmids) and/or with integrating phage

vectors (e.g., pSET152) containing other genes of the foreign PKS to complete the set of PKS genes and produce polyketide product.

### Example 11

#### 5      Construction of Yeast Vectors for Expression of an Aromatic Minimal PKS

The genes encoding the KS/AT bifunctional protein and the CLF gene of the actinorhodin PKS (diagrammed in Figure 5) are amplified and tailored by PCR and cloned into the yeast expression vector pYEura3 (Clontech) under control of the Gal1 and Gal10 promoters respectively. The ACP gene is amplified and cloned together  
10 with the holo-ACP synthase gene, if necessary, into a plasmid derived from pYEura3 by replacement of the Ura3 gene with the Leu2-d gene. Expression is also driven by the Gal1 and Gal10 promoters respectively. Yeast strain BJ2168 is cotransformed with these plasmids and also with plasmid 128a (see Example 3) and transformants selected on a uracil- and leucine-deficient plates by standard methods. Expression is  
15 induced by growth in 2% galactose according to the manufacturer's instructions. The polyketide produced by this synthase system is predicted to be



### Example 12

#### 20      Construction of Yeast Vectors for Expression of Modular Synthase Activities

Two vectors are constructed. One contains the putative two-module system of spiramycin under control of the ADH-2 promoter and colinear with the thioesterase domain of the erythromycin PKS. The coding sequence construct is engineered to be flanked by an *NdeI* site at the initiation codon and an *NsiI* site following the  
25 termination codon; this construct is cloned using synthetic oligonucleotide linkers into pYT.

In the second vector, the analogous structure from the erythromycin PKS system flanked by *NdeI* and *NsiI* sites as described by Kao, C. *et al. J Am Chem Soc* (1995) 117:9105-9106 is cloned into pYT so as to be placed under control of the ADH-2 promoter. Figure 9 shows the relevant expression portion of these vectors and  
5 the expected polyketide products.

Claims

1. A modified recombinant host cell, which, in unmodified form, does not produce polyketides, which cell is modified to contain an expression system for a minimal polyketide synthase (PKS) and an expression system for a holo ACP synthase,
- 5 said minimal PKS comprising a ketosynthase/acyl transferase (KS/AT) catalytic region, a chain-length factor (CLF) catalytic region and an acyl carrier protein (ACP) activity for an aromatic PKS; or
- 10 said minimal PKS comprising a KS catalytic region, an AT catalytic region, and an ACP activity for a modular PKS or a fungal PKS.
2. The modified cell of claim 1 which is *E. coli* or yeast.
- 15 3. The modified cell of claim 1 wherein said PKS is the synthase for 6-methyl salicylic acid.
4. The modified cell of claim 1 wherein the nucleotide sequence encoding said holo ACP synthase and the nucleotide sequence encoding at least a portion of said minimal PKS are fused so as to encode a fusion protein.
- 20 5. The modified cell of claim 1 wherein said expression system for said minimal PKS and said expression system for said holo ACP synthase are present on separate vectors.
- 25 6. The modified cell of claim 1 wherein at least one of said expression systems is integrated into the host cell chromosome.

7. A method to produce a polyketide which method comprises culturing the cells of claim 1 under conditions wherein said expression systems produce the encoded proteins and wherein said polyketide is synthesized.

- 5 8. A recombinant host cell modified to contain either
- a) at least two vectors; said first vector containing a first selectable marker and a first expression system and said second vector containing a second selectable marker and a second expression system and optionally additional vectors containing additional selectable markers and expression systems wherein said
- 10 expression systems contained on said vectors are effective to produce at least a minimal polyketide synthase (PKS); or
- b) at least one vector and a modified chromosome, said one vector containing a first selectable marker and a first expression system and said modified chromosome containing a second expression system and optionally additional vectors
- 15 containing additional selectable markers and expression systems wherein said expression systems contained on said vectors in combination with said expression system on said chromosome are effective to produce at least a minimal PKS;
- said minimal PKS comprising a ketosynthase/acyl transferase (KS/AT) catalytic region, a chain-length factor (CLF) catalytic region and an acyl carrier
- 20 protein (ACP) activity for an aromatic PKS; or
- said minimal PKS comprising a KS catalytic region, an AT catalytic region, and an ACP activity for a modular PKS.

9. The cell of claim 8 which is a yeast cell, an *E. coli* cell, an
- 25 actinomycete cell or a plant cell.

10. The cell of claim 8 which further contains an expression system for a cell-based detection system for a functional polyketide.

11. The cell of claim 8 which produces at least a minimal aromatic PKS and which contains:

(a) a first vector comprising a first selectable marker and an expression system comprising a nucleotide sequence encoding a KS/AT catalytic region operably  
5 linked to a promoter operable in said cell;

(b) a second vector comprising a second selectable marker and an expression system comprising a nucleotide sequence encoding a CLF catalytic region operably linked to a promoter operable in said cell; and

(c) a third vector containing a third selectable marker and an expression  
10 system which comprises a nucleotide sequence encoding an ACP activity operably linked to a promoter operable in said cell.

12. The cell of claim 8 which produces at least a minimal modular PKS and which contains

15 (a) a first vector containing a first selectable marker and an expression system for at least one module of a polyketide synthase (PKS) operably linked to a promoter operable in said cell; and

(b) a second vector containing a second selectable marker and a nucleotide  
20 sequence encoding at least a second module of a polyketide synthase operably linked to a promoter operable in said cell.

13. The cell of claim 12 wherein said first and second module are derived from different polyketide synthases.

25 14. The cell of claim 13 wherein said nucleotide sequence encoding at least one module further contains a nucleotide sequence encoding a KR activity; or wherein the nucleotide sequence encoding at least one module encodes a KR and DH activity; or



wherein said nucleotide sequence encoding at least one module encodes a KR, DH and ER activity; and/or

wherein said nucleotide sequence encoding at least one module encodes a thioesterase (TE) activity.

5

15. A method to produce a polyketide which method comprises culturing the cells of claim 8 under conditions wherein said expression systems produce the encoded proteins and wherein said polyketide is synthesized.

10

16. The cell of claim 8 which is further modified to contain a recombinant expression system for a holo ACP synthase.

15

17. A method to produce a polyketide which method comprises culturing the cells of claim 16 under conditions wherein said expression systems produce the encoded proteins and wherein said polyketide is synthesized.

18. A library of polyketide synthases PKS or synthesized polyketides which comprises a panel of individual colonics, each colony containing either

20 a) at least two vectors; said first vector containing a first selectable marker and a first expression system and said second vector containing a second selectable marker and a second expression system and optionally additional vectors containing additional selectable markers and expression systems wherein said expression systems contained on said vectors are effective to produce at least a minimal polyketide synthase (PKS), or

25 b) at least one vector and a modified chromosome, said one vector containing a first selectable marker and a first expression system and said modified chromosome containing a second expression system and optionally additional vectors containing additional selectable markers and expression systems wherein said expression systems contained on said vectors in combination with said expression  
30 system on said chromosome are effective to produce at least a minimal PKS;

said minimal PKS comprising a ketosynthase/acyl transferase (KS/AT) catalytic region, a chain-length factor (CLF) catalytic region and an acyl carrier protein (ACP) activity for an aromatic PKS; and

said minimal PKS comprising a KS catalytic region, an AT catalytic region,  
5 and an ACP activity for a modular PKS

wherein the combination of vectors or of vector(s) and modified chromosome is different in each colony.

19. The library of claim 18 wherein said colonies are colonies of yeast,  
10 *E. coli*, actinomycetes or plant cells.

20. The library of claim 18 wherein each colony further contains an expression system for a cell-based detection system for a functional polyketide.

15 21. The library of claim 18 wherein the PKS are aromatic PKS and each colony contains:

(a) a first vector comprising a first selectable marker and an expression system comprising a nucleotide sequence encoding a KS/AT catalytic region operably linked to a promoter operable in said cell;

20 (b) a second vector comprising a second selectable marker and an expression system comprising a nucleotide sequence encoding a CLF catalytic domain operably linked to a promoter operable in said cell.

(c) a third vector containing a third selectable marker and an expression system which comprises a nucleotide sequence encoding an ACP activity operably  
25 linked to a promoter operable in said cell;

wherein said combination of first, second and third vectors is different in each colony.

22. The library of claim 18 wherein the PKS are modular PKS wherein each colony contains

a first vector containing a first selectable marker and an expression for at least one module of a PKS operably linked to a promoter operable in said cell; and

5 a second vector containing a second selectable marker and a nucleotide sequence encoding at least a second module of a polyketide synthase operably linked to a promoter operable in said cell;

wherein said combination of first and second vectors is different in each colony.

10

23. The library of claim 22 wherein said nucleotide sequence encoding at least one module further contains a nucleotide sequence encoding a KR activity; or

wherein the nucleotide sequence encoding at least one module encodes a KR and DH activity; or

15 wherein said nucleotide sequence encoding at least one module encodes a KR, DH and ER activity; and/or

wherein said nucleotide sequence encoding at least one module encodes a thioesterase (TE) activity.

20 24. The library of claim 18 wherein each colony further contains a recombinant expression system for a holo ACP synthase.

25 25. A method to produce a library of polyketides which method comprises culturing the cells of claim 18 under conditions wherein said expression systems produce the encoded proteins and wherein said polyketide is synthesized.

26. A method to produce a library of polyketides which method comprises culturing the cells of claim 24 under conditions wherein said expression systems produce the encoded proteins and wherein said polyketide is synthesized.

27. A method to identify a polyketide that binds a target receptor which method comprises contacting said receptor with each member of the library of claim 18 under conditions wherein binding to said receptor can be detected; and

5 detecting the presence or absence of binding to said receptor with respect to each member, whereby

a member that binds to a receptor is identified.

28. A method to identify a polyketide that binds a target receptor which  
10 method comprises contacting said receptor with each member of the library of claim 24 under conditions wherein binding to said receptor can be detected; and

detecting the presence or absence of binding to said receptor with respect to each member, whereby

a member that binds to a receptor is identified.

15

29. A method to identify a polyketide functional in a cell-based detection system which method comprises assessing each member of the library of claim 18

for the presence or absence of signal in said cell-based detection system

whereby a functional polyketide is identified.

20

30. A vector adapted for expression in yeast which vector contains a selectable marker operable in yeast, and an expression system which comprises the coding region of at least one functional polyketide synthase catalytic activity operably linked to a promoter operable in yeast.

25

31. A yeast cell modified to contain the vector of claim 30.

32. The yeast cell of claim 31 which further contains a recombinant expression system for a holo ACP synthase.

5 33. A method to produce a polyketide synthase activity which method comprises culturing the yeast cell of claim 31 under conditions wherein expression is favored.

34. A method to produce a polyketide synthase activity which method comprises culturing the yeast cell of claim 32 under conditions wherein expression is  
10 favored.

35. A vector adapted for expression in *E. coli* which vector contains a selectable marker operable in *E. coli*, and an expression system which comprises the coding region of at least one functional polyketide synthase catalytic activity operably  
15 linked to a promoter operable in *E. coli*.

36. An *E. coli* cell modified to contain the vector of claim 35.

37. The *E. coli* cell of claim 36 which further contains a recombinant  
20 expression system for a holo ACP synthase.

38. A method to produce a polyketide synthase activity which method comprises culturing the *E. coli* cell of claim 36 under conditions wherein expression is favored.  
25

39. A method to produce a polyketide synthase activity which method comprises culturing the *E. coli* cell of claim 37 under conditions wherein expression is favored.

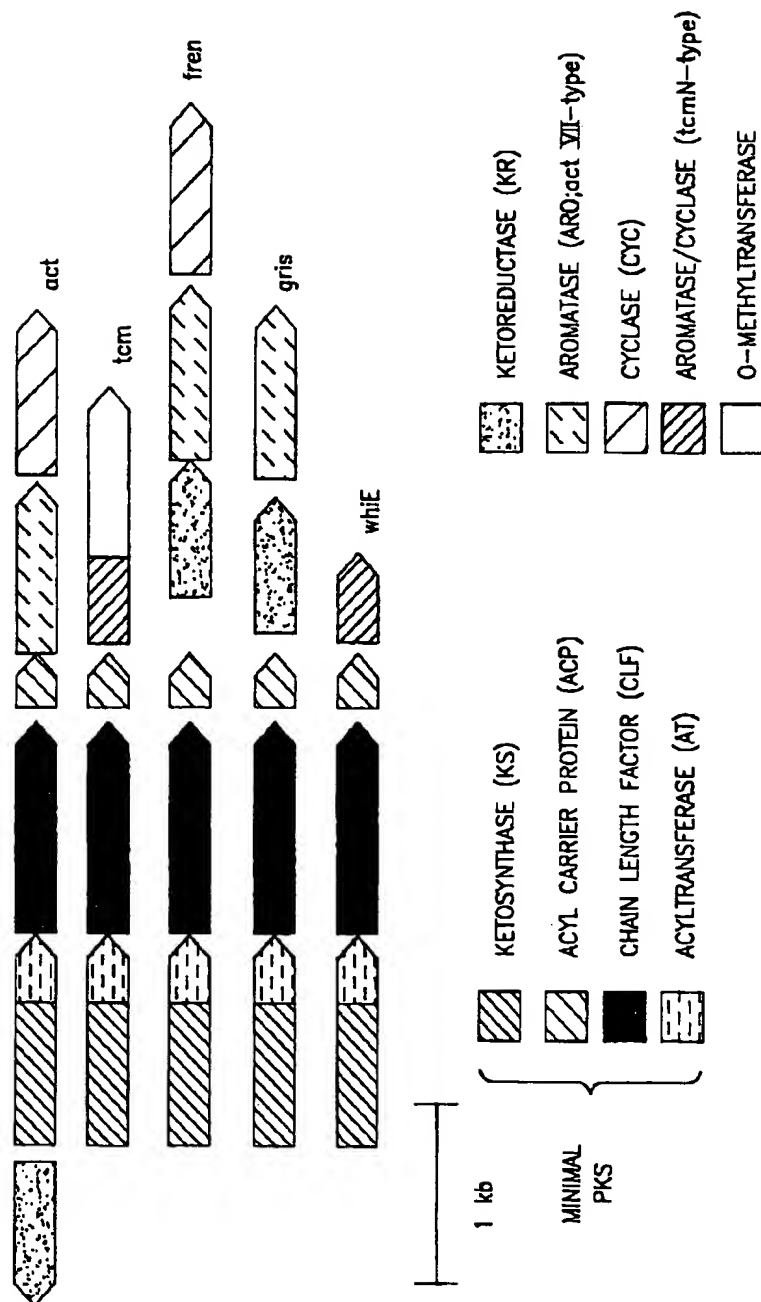
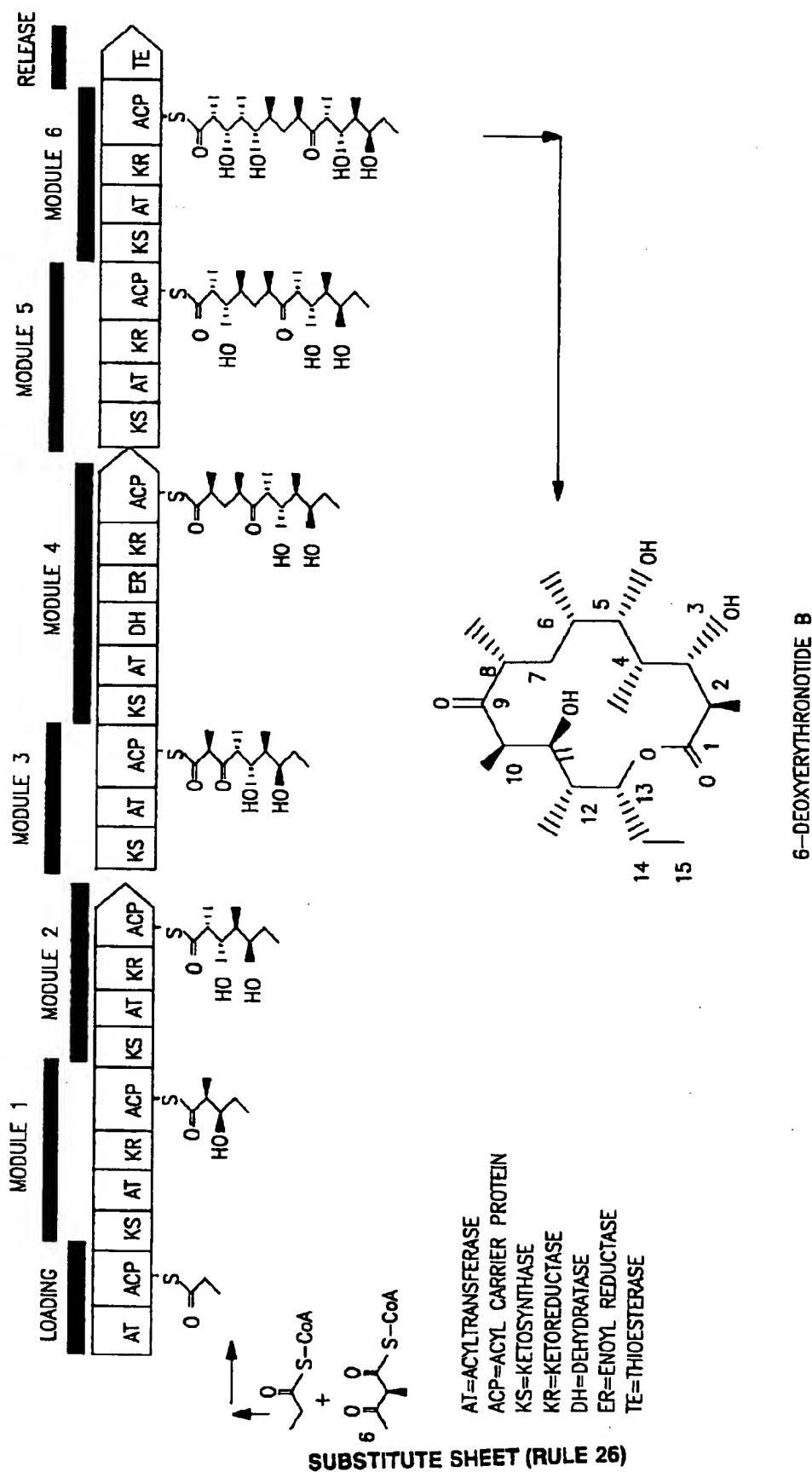


FIG. 1



**FIG. 2**

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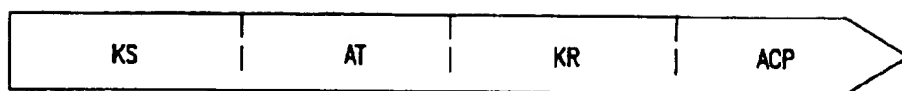


FIG. 3



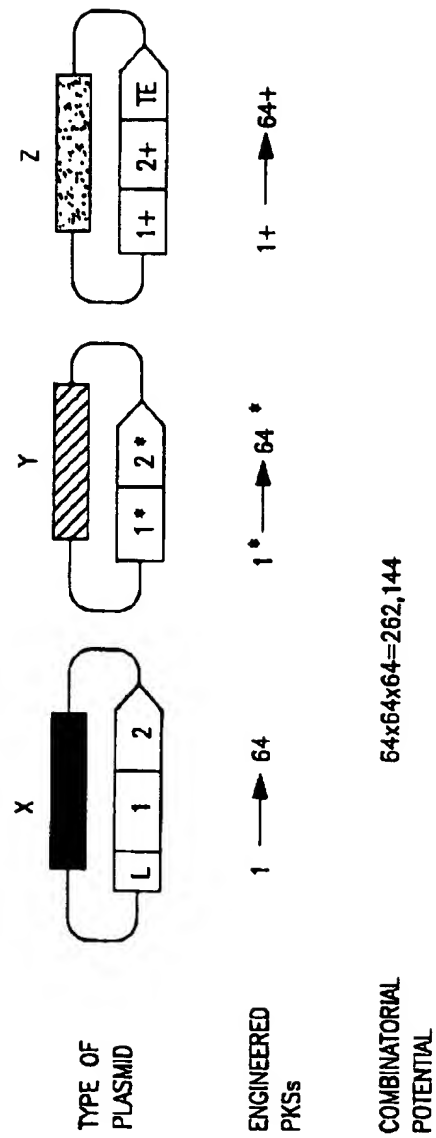


FIG. 4

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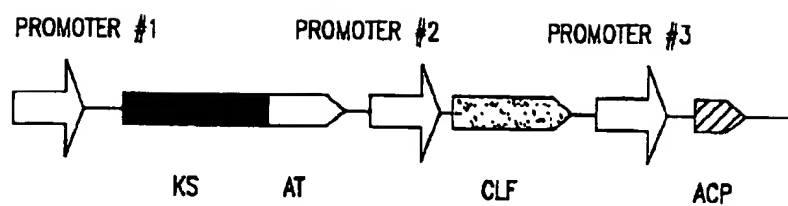


FIG. 5

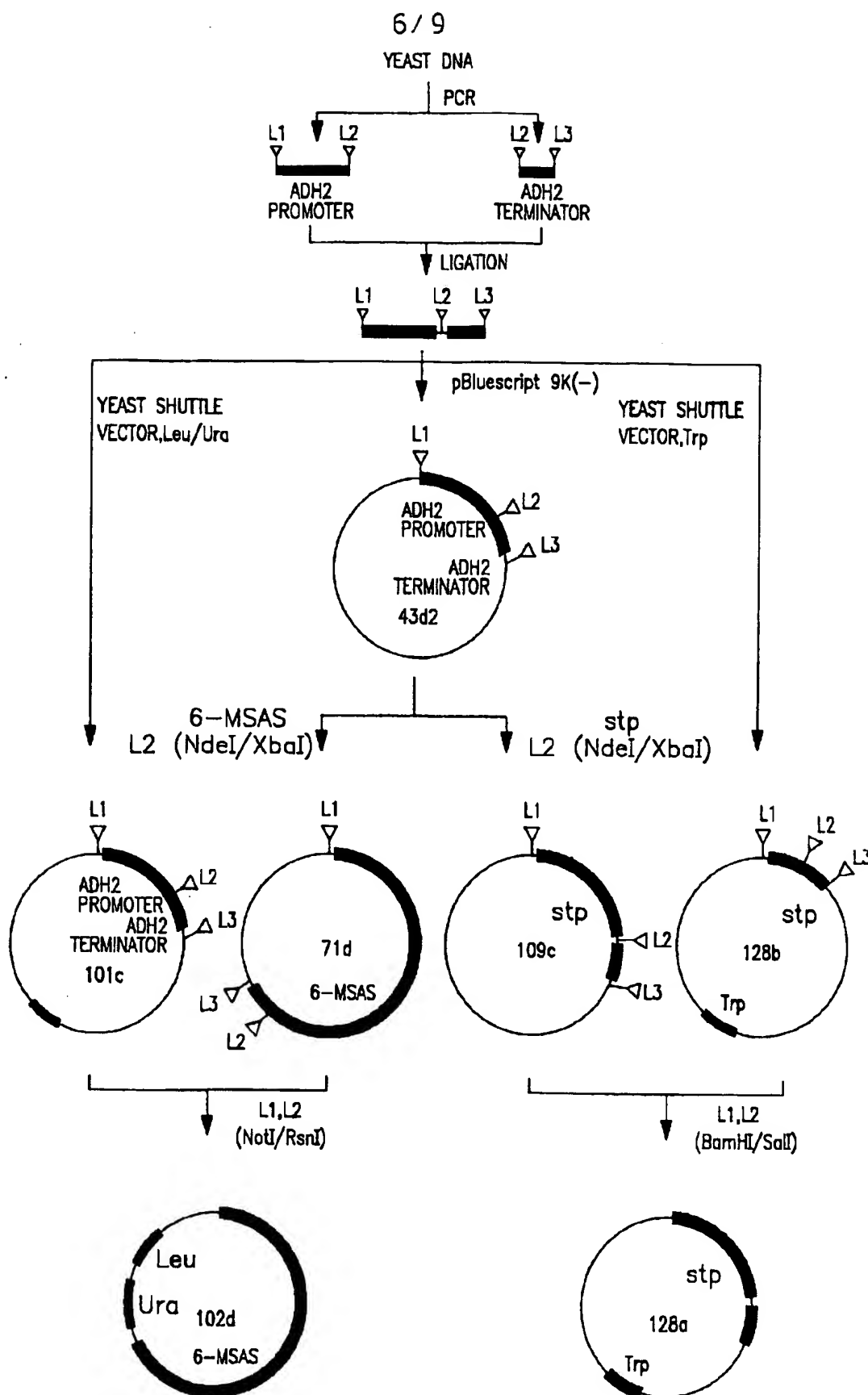


FIG. 6

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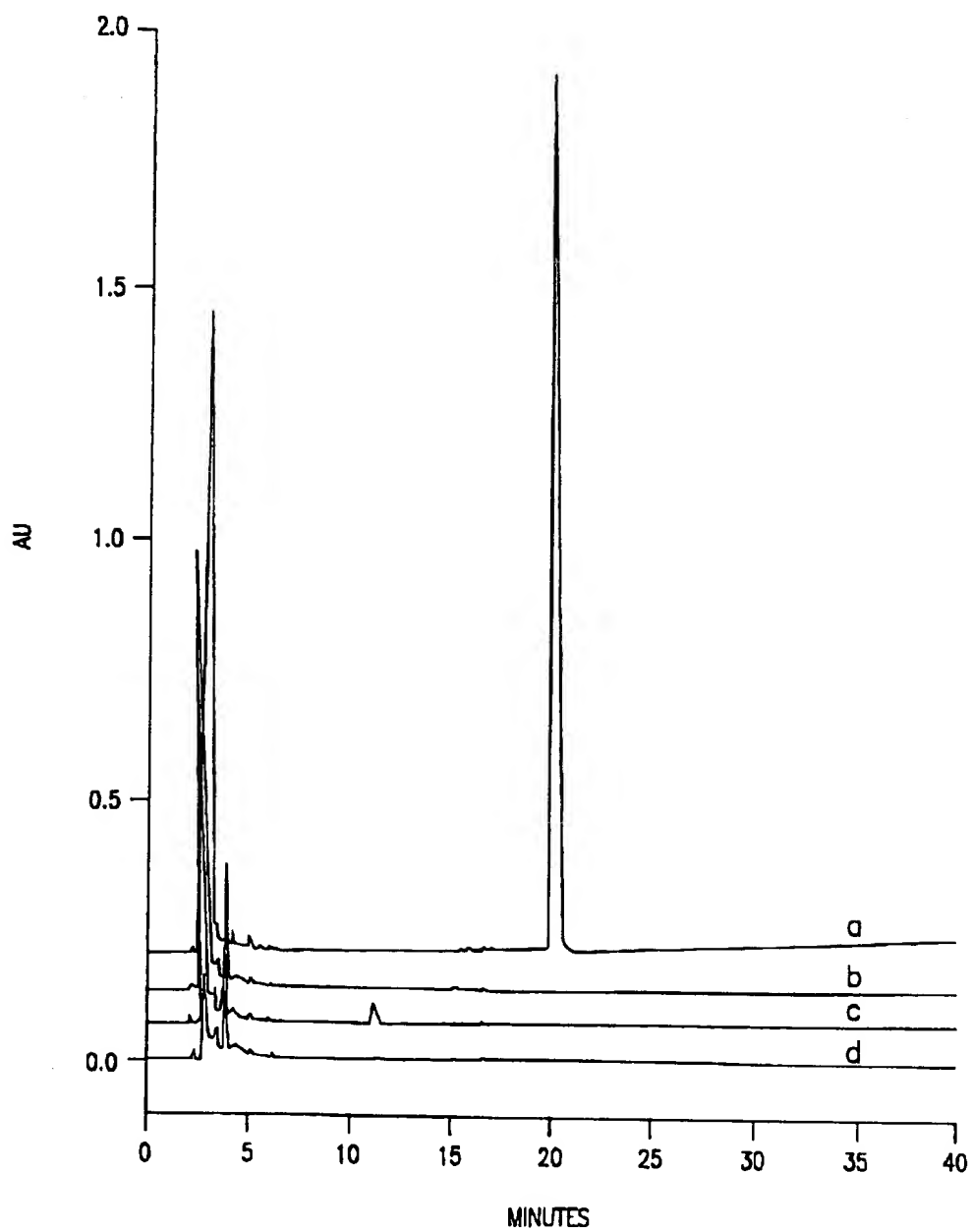


FIG. 7

SUBSTITUTE SHEET (RULE 26)

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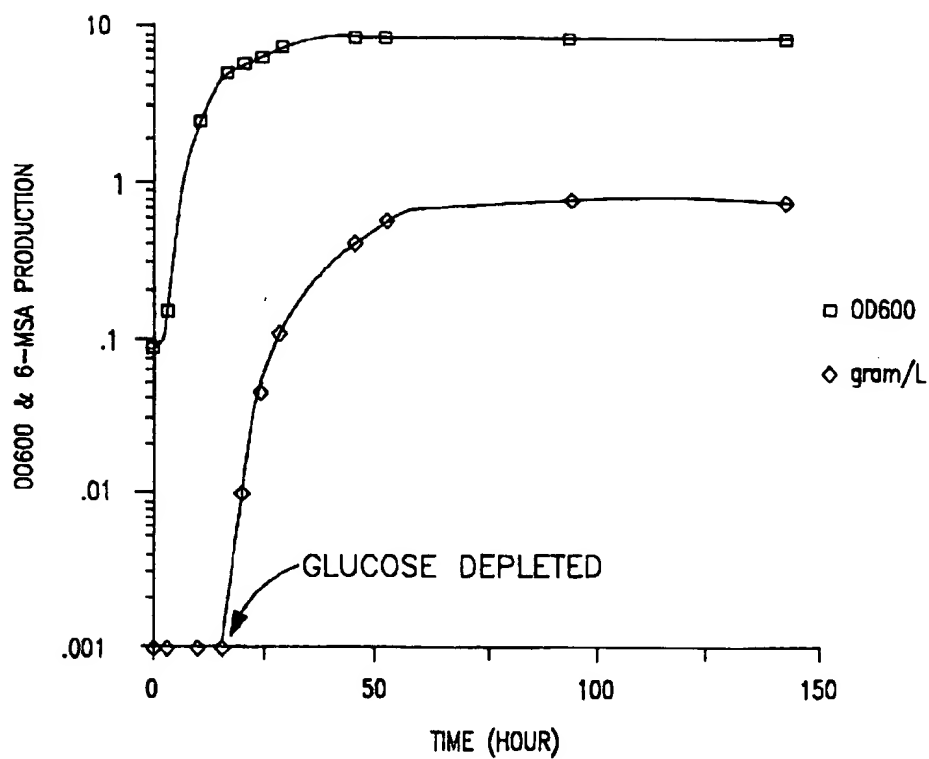


FIG. 8A

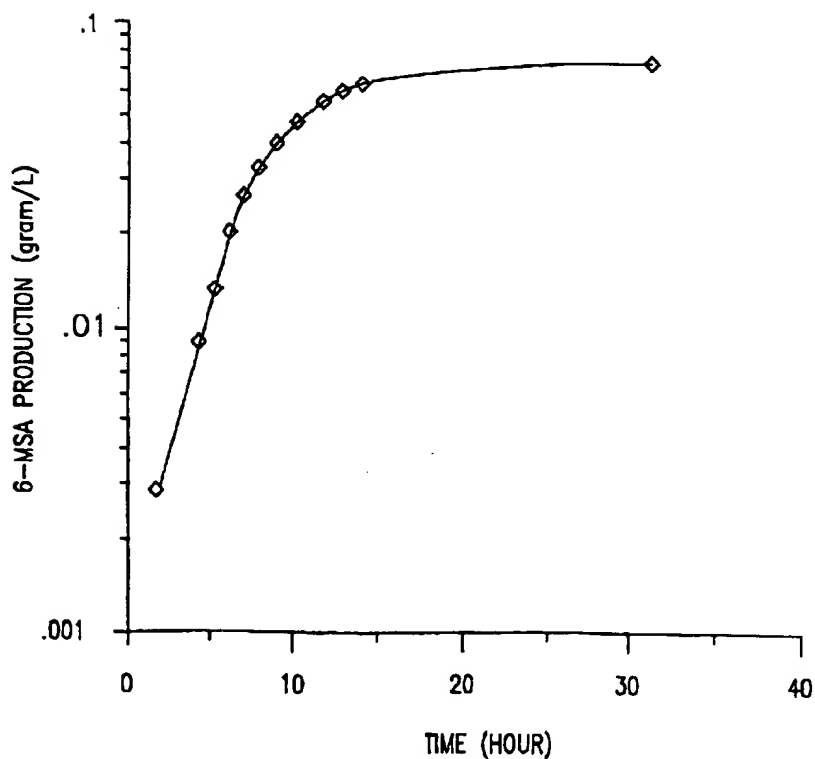


FIG. 8B

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FIG. 9A

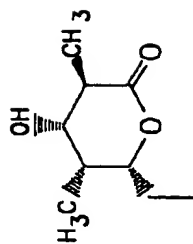
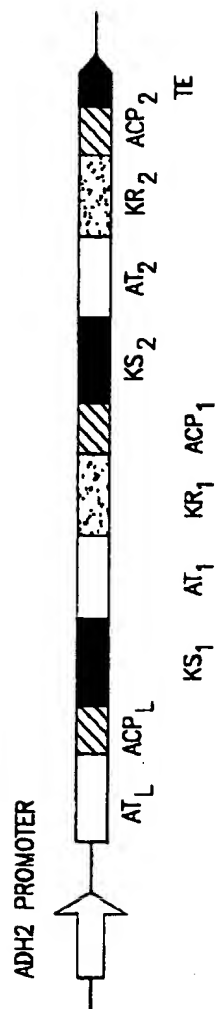


FIG. 9B

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/23014

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : C12N 15/00, 15/31, 15/52, 15/70, 15/81, 15/90

US CL : 435/69.1, 172.3, 252.3, 252.33, 254.21, 320.1; 536/23.2

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/69.1, 172.3, 252.3, 252.33, 254.21, 320.1; 536/23.2

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	FUJII, I. et al. Cloning of the polyketide synthase gene atX from <i>Aspergillus terreus</i> and its identification as the 6-Methylsalicylic acid synthase gene by heterologous expression. Molecular and General Genetics. November 1996, Vol. 253, No. 1, pages 1-10, especially Figures 1, 3 and 4 at pages 3 and 5-8.	1-29
Y,P	CARRERAS, C. W. et al. Utilization of Enzymatically Phosphopantetheinylated Acyl Carrier Proteins and Acetyl-Acyl Carrier Proteins by the Actinorhodin Polyketide Synthase. Biochemistry. November 1997, Vol. 36, No. 39, pages 11757-11761, especially pages 11759-11761.	1-5, 8 and 9

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

12 APRIL 1998

Date of mailing of the international search report

27 APR 1998

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/23014

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	COX, R. J. et al. Post-translational modification of heterologously expressed Streptomyces type II polyketide synthase acyl carrier proteins. FEBS Letters. March 1997, Vol. 405, No. 3, pages 267-272, especially pages 268-272.	1-5 and 7-30
Y,E	US 5,712,146 A (KHOSLA et al.) 27 January 1998, Figures 1-22 and columns 1-48.	10-29
Y	ROBERTS, G. A. et al. Heterologous expression in Escherichia coli of an intact multienzyme component of the erythromycin-producing polyketide synthase. European Journal of Biochemistry. March 1993, Vol. 214, pages 305-311, especially pages 307-310.	1, 2, 4, 5 and 8-29.
Y	LAMBALOT, R. H. et al. A new enzyme superfamily - the phosphopantetheinyl transferases. Chemistry and Biology. November 1996, Vol. 3, No. 11, pages 923-936, especially pages 925-933.	1-29

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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/23014

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS and DIALOG files 5, 10, 155, 351, 357, 358, and 399 (Biosis Previews, Agricola, Medline, Derwent World Patent Index, Derwent Biotechnology Index, Current BioTech Abstracts and Chemical Abstracts Search)

Form PCT/ISA/210 (extra sheet)(July 1992)\*